



Attorney's Docket No.: 11657-002001

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003001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gary L. Gastineau Art Unit : Unknown
Serial No. : 09/536,663 Examiner : Unknown
Filed : March 27, 2000
Title : DETERMINING INTRA-DAY NET ASSET VALUE OF AN ACTIVE
MANAGED EXCHANGE TRADED FUND

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 8/8/2000

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

August 8, 2000
Date of Deposit Marie Collins
Signature Marie Collins
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